

91C

GOVT. OF NCT OF DELHI, DIRECTORATE OF AUDIT
4th LEVEL, 'C' WING, DELHI SECRETARIAT, I.P. ESTATE, NEW DELHI-02
PARTY NO.33

Sub:-Audit report of Police Complaints Authority, 10th Floor, Chanderlok Building, Janpath, New Delhi 110001 for the audit period 2019-20 to 2020-21.

INTRODUCTION

The I.A.R. on the account **Police Complaints Authority, 10th Floor, Chanderlok Building, Janpath, New Delhi 110001** for the audit period 2019-20 to 2020-21 was conducted by field Audit Party No. 33 comprising of Sh. Rakesh Kohli. I.A.O. and Sh. Deepak Kumar, AAO. The audit was conducted during 07 working days w.e.f. 12.08.2021 to 24.08.2021.

Aims and objectives:-

Vide Notification No. F.28/1/2017/HP-I/Estt./Part file/635-641 dated 29.01.2018 of the GNCT of Delhi, the Police Complaints Authority was constituted by Hon'ble Lt. Governor, Delhi to deal with complaints relating to Delhi Police. Further or setting up of the Authority as a separate Department, The office was shifted to its new premises at 10th Floor Chanderlok Building, Janpath, New Delhi since 20.05.2019 and since then it has been functioning independently

The role and functions of the Police Complaints Authority will be as under :-

The Authority shall inquire into allegations of "serious misconduct" against police personnel, as detailed below, either "suo motu" or on a complaints received from any of the following:-

- P-212
P-58
- a) A victim or any person on his/her behalf on a sworn affidavit;
 - b) The National Human Rights Commission; or
 - c) Lieutenant Governor or Chief Secretary or Principal Secretary (Home), GNCT of Delhi.

Explanation: "Serious misconduct" for the purpose of this chapter shall mean any set of commission or omission of a police officer that leads to or amount to-

- a) Death in police custody;
- b) Grievous in police custody;
- c) Rape or attempt to rape in police custody;
- d) Arrest or detention without due process of law; or
- e) Extortion or land/house grabbing or any other incident involving serious abuse of authority.

Composition:-

The Police Complaints Authority of National Capital Territory of Delhi shall be headed by the Chairman/Chairperson with three Members. One of the three members must be a woman. The Chairman/Chairperson will be selected from below mentioned category(a) and one Member each from the categories(b) to (d) will be selected:-

- (A) A retired High Court Judge;
- (B) A person of repute and stature from civil society;
- (C) A retired civil servant of minimum of scale of Secretary to GNCT of Delhi with experience in Public Administration; and
- (D) A retired Police officer of minimum of scale of Joint Commissioner/Inspector General of Police or corresponding rank.

In case a woman is appointed as Chairman/Chairperson, then it shall not be mandatory to have a woman member.

81c

HOD/HOO/DDO/CASHIER

The following officials have served as HOO/DDO/Cashier during 2019-20 to 2020-21.

Head of Department

S.NO.	NAME OF OFFICER	DESIGNATION	PERIOD
1.	Sh. P.S Teji, Chairman	Chairman	24.12.2018 to till date

Head of Office

S.NO.	NAME OF OFFICER	DESIGNATION	PERIOD
1	Sh. Vipin Kumar Garg	Deputy Secretary	01.05.2019 to till date

Drawing and Disbursing Officer

S.NO.	NAME OF OFFICER	DESIGNATION	PERIOD
1.	Sh. Ravinder Razdan,	AAO	06.06.2019 to 12.04.2020
2.	Sh. Sanjeev Gaur,	Section Officer	13.04.2020 to 07.07.2020
3	Sh. O.P. Nautiyal,	Sr. A.O	08.07.2020 to till date

Cashier

S.NO.	NAME OF OFFICER	DESIGNATION	PERIOD
1.	Smt. Kamlesh Sharma	Sr. Assistant	01.05.2019 to till now

Budget and Expenditure (2019-20 to 2020-21)

S. No.	Financial Year	Budget Allocated	Expenditure	Saving/Excess
1	2019-20	704,00,000	393,63,248	310,36,752
2	2020-21	881,00,000	55,777,482	323,22,518

P-57

P-49

STATUTORY AUDIT

Statutory audit of **Police Complaints Authority, 10th Floor, Chanderlok Building, Janpath, New Delhi 110001 for the period 2019-20 to 2020-21** has not been conducted by the office of A. G. (Audit) so far

Vacancy Statement as on 31/03/2021.

S. No	Group	Total Post Sanctioned	Regular	Total	Vacant
1	A	2	2	2	0
2	B	4	3	3	1
3	C	5	4	4	1
	Total	11	09	09	2

P-25-26

Maintenance of record:-

The Maintenance of records of office of **Police Complaints Authority, 10th Floor, Chanderlok Building, Janpath, New Delhi 110001 for the period 2019-20 to 2020-21** is found satisfactory subject to observation made in current audit report and in test audit notes.

Old Audit Reports & Recoveries

This is the first Audit of the office of Police Complaints Authority, 10th Floor, Chanderlok Building, Janpath, New Delhi 110001.

Current Audit Report

During the course of current audit 05 audit memos (excluding 10 record memos) were issued highlighting various irregularities involving an amount of Rs. Nil/- as recovery. In compliance of Audit Memos, the department has submitted replies of audit memos which were examined and after careful examination, 01 audit memos were settled fully with the recovery of an amount of Rs. Nil/- on the spot. The remaining 04 audit memos have been incorporated as 02 Audit Para with an amount of Rs. NIL/- in the current audit report Part –II and 02 TAN in the current audit report Part-III

The internal audit report has been prepared on the basis of the information / records furnished and made available by Police Complaints Authority, 10th Floor, Chanderlok Building, Janpath, New Delhi 110001 for the audit period 2019-20 to 2020-21. Further, the audit disclaims any responsibility for any mis-information and/or non-information on the part of Auditee.

(Handwritten signature)

(RAKESH KOHLI)

I.A.O/ A.O, Audit Party No.33

610

PART-I
OLD AUDIT REPORT

NIL

51C

PART-II
CURRENT AUDIT REPORT
(01.04.2019 to 31.03.2021)

**Para No.01 :- Discrepancies in procuring 06 No. Computers amounting to Rs. 346788/-
(Memo No. 01 Dated: 16.08.2021)**

During the test check of records provided by the Authority, it has been noticed that while procuring the 06 no. Of computers amounting to Rs. 346788/- from M/s Infotech India vide Invoice no. 847 dated 18.10.2019, the Department has not got approval of the Technical Evaluation Committee of Information Technology Department, Govt. Of NCT of Delhi for any change in the specifications mentioned at Sr. No. 01 of the Order No. E-11/2/2015-DS(CCU)-Part(1) dated 17.06.2019.

As per specifications mentioned at Sr. No. 01 of said order, onsite OEM Warranty of 5 years is one of the mandatory conditions , whereas no such warranty has been demanded/ taken for the procurement of 06 no. Computer by the Authority which results in the items being unwarranted.

Elucidate the reason for not following the specifications as mentioned in the Order issued by the Information Technology Department, Govt. Of NCT of Delhi dated 17.06.2019 while procuring the computers, to the Audit Party.

HOO/DDO is hereby advised to rectify the above mentioned discrepancies under intimation to the Audit.

Sanjiv Kumar

4/c

**Para No.02 :- Irregularities in taken over the inventory of SITC of 19 nos. Cassette type ACs.
(Memo No. 02 Dated: 16.08.2021)**

During the test check of records provided by the Authority, it has been observed that while taking over the inventory of SITC of 19 nos. Cassette type ACs, following irregularities have been noticed :-

- 01 No bills of 19 nos. of Cassette type ACs have been obtained from the Executive Engineer(E)BM-I, NDMC to ascertain the date of purchase and other mandatory details while calculating the depreciation and its real value in monetary terms.
- 02 How can the Authority be sure that the ACs installed in the office premises were purchased a fresh and not the used ones while taking over the possession of the premises ?
- 03 NDMC has given the warranty of 01 year which expired on 20.05.2020. Without having the complete details, no order for annual maintenance for these ACs can be placed with GeM. and accordingly, no such order for AMC has been placed with GeM after the lapse of almost one and half year.
- 04 With the result, for any major damage to any of these ACs will put burden on the Authority for either getting it serviced at an enhanced rate or getting it replaced with another in case of any major default .
- 05 The life of the ACs can not be ascertained without having the date of purchase. Further, no depreciation can be charged on these ACs as provided in the Books of Accounts.

HOO/DDO is hereby advised to rectify the above mentioned irregularities under intimation to the Audit.



(RAKESH KOHLI)

I.A.O/ A.O, Audit Party No.33

2/C

PART-III

TEST AUDIT NOTES
(01.04.2018 to 31.03.2021)

**TAN NO. 01: Shortcomings in maintaining the records of vehicles in the log books
(Audit Memo No. 04 Dated: 23.08.2021)**

During the test check of Log books of vehicle No DL1AA-7927, DL1CAA-7967 & DL1CAA-7913 maintained by the Police Complaint Authority, Delhi for the audit period 2019-20 to 2020-21, the following shortcomings have been noticed:-

- (i) Page counting certificate has not been recorded in any of the log book.
- (ii) Overwriting/cuttings are not attested/certified by the concerned officer/ vehicle users.
- (iii) The time of journey had not been recorded in Column No. 2 & 3 of the log book of vehicle No. DL1CAA-7967
- (iv) The journey has been performed by the officer as on weekly off i.e sunday on 23.06.2019, & 22.09.2019 however no such entry has been entered in column No. 11 of Log Book of vehicle DL1AA-7927
- (v) The average KM run by each vehicle with respect to petrol consumed during the end of the each month was not recorded/workout for any of the vehicle's log book. In the absence of average km run by the each vehicle, it is not ascertained whether the running of vehicle is economical or not.
- (vi) The officer in-charge of the vehicle has not signed in the prescribed column in the log book while petrol is taken.
- (vii) Only meter reading has been mentioned, km travelled not calculated.

HOO/DDO is hereby advised to rectify the above shortcomings .

Wave

TAN NO. 02: Improper maintenance of Service Books:

(Memo No. 05 Dated: 24.08.2021)

During the test check of Service Books, the following shortcomings have been observed:

(1) Re-attestation of Bio-data:

The particulars of each Govt. servant at the first page of Service Book should be re-attested after every five years and fresh photograph should be appended and attested after every ten years. But this has not been followed in most of the cases.

(2) Verification and communication of qualifying service after 18 years of service or 5 years before retirement:

Further, as per rule 32 of CCS (pension) Rules. Verification of service of the government servant should be done on completion of 18 years of qualifying service or 5 years before the date of retirement whichever is earlier and a certificate be issued in the prescribed form no. 24 to the official/teacher concerned. The said certificate has not been found pasted in the Service Book of following teachers/officials after verification of service from the concerned PAO. Some cases are illustrated as under:-

Details of Staff whose retirement is within 5 years and completed 18 years of service

S. No.	Name . of the officer/official	Desingation	DoB	Date of appointment	Date of retirement
1.	Vipin Kumar Garg	Deputy Secretary	15/06/1962	26/11/1986	30/06/2022
2.	Rawinder Singh	PPS	14/11/1962	21/07/1983	30/11/2022
3.	Sunita Arya	PPS	01/12/1964	21/06/1984	30/11/2024
4.	Nariender Kaur	PS	06/12/1966	31/08/1991	31/12/2026
5.	Priya Rajan Chadha	Sr. Asstt.	27/03/1973	05/09/1995	31/03/2033

HOO/DDO is hereby advised to rectify above shortcomings and get the service verified from the concerned PAO under intimation to Audit.



(RAKESH KOHLI)

I.A.O/ A.O, Audit Party No.33